

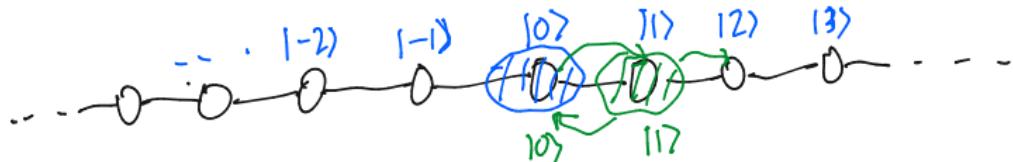
PHYS 4410
Quantum Mechanics 2
Spring 2023

Lecture 10

Discrete translation symmetry: finite chain

February 10

1 Toy model for electrons in solid:



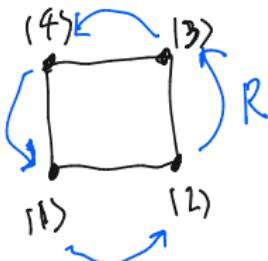
$$H = -\beta \sum_{n=-\infty}^{\infty} \left[\underbrace{|n\rangle\langle n+1|}_{\text{hop left}} + \underbrace{|n+1\rangle\langle n|}_{\text{hop right}} \right] \quad \text{single electron}$$

$$H|n\rangle = -\beta [|n-1\rangle + |n+1\rangle] \quad \delta_{n+1, n'} = \begin{cases} 1 & n+1 = n' \\ 0 & \text{else} \end{cases}.$$

$$\left[\sum_{n=-\infty}^{\infty} |n\rangle\langle n+1| \right] |n'\rangle = \sum_{n=-\infty}^{\infty} |n\rangle\langle n+1| n' \rangle = |n'-1\rangle.$$

How to diagonalize H?

2 A) look for "simpler" problem.



$$H = -\beta \sum_{n=1}^4 [|n\rangle \langle n+1| + |n+1\rangle \langle n|]$$

identify $|4+1\rangle = |1\rangle$.

B) look for a symmetry. $R = \sum_{n=1}^4 |n+1\rangle \langle n|$ or
 $R|n\rangle = |n+1\rangle$

Claim: R is a symmetry (transformation).

$$[H, R] = 0 \quad \text{discrete translation symmetry}$$

(for any $|n\rangle$)

$$\underbrace{HR|n\rangle}_{H|n+1\rangle}$$

$$= RH|n\rangle$$

$$R[-\beta|n-1\rangle - \beta|n+1\rangle]$$

$$= -\beta|n+2\rangle - \beta|n\rangle$$

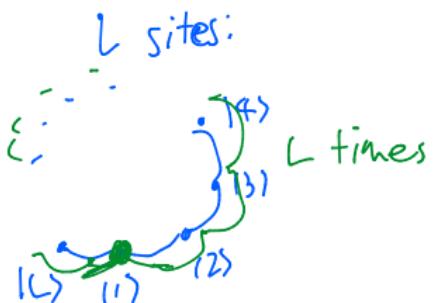
$$= -\beta|n\rangle - \beta|n+2\rangle$$

3 Recall Thm: $[H, R] = 0$, basis where H, R both diagonal.

Can we find eigenvector of R ?

$$R|n\rangle = |n+1\rangle \quad [R(L) = |1\rangle].$$

If $R^k |n\rangle = |n+k\rangle$



$$R^L = 1 \quad (\text{identity}).$$

And $\{1, R, R^2, \dots, R^{L-1}\}$ form symmetry group. (\mathbb{Z}_L)

$$R|\lambda\rangle = \lambda|\lambda\rangle, \text{ then } R^L |\lambda\rangle = \lambda^L |\lambda\rangle \quad \text{so } \lambda^L = 1.$$

$$1|\lambda\rangle = 1 \cdot |\lambda\rangle$$

Solution: "roots of unity" $\lambda = e^{\frac{-2\pi i}{L} \cdot j} \quad j=1, \dots, L$
 $L\theta = 2\pi n \quad n \in \mathbb{N} \quad \leftarrow \lambda = (re^{i\theta})^L = 1.$

4 What state $| \bar{k} \rangle$ obeys: $R | \bar{k} \rangle = e^{-2\pi i \bar{k} \cdot k} | \bar{k} \rangle$

Recall: $R = \sum_n | n+1 \rangle \langle n |$

$$\underbrace{\langle \ell+1 | R | \bar{k} \rangle}_{\langle \ell+1 |} = e^{-2\pi i \bar{k} \cdot k} \langle \ell+1 | \bar{k} \rangle$$

$$\langle \ell | \bar{k} \rangle = e^{-2\pi i \bar{k} \cdot k} \langle \ell+1 | \bar{k} \rangle$$

$$\langle 1 | \bar{k} \rangle = \underbrace{\langle 1 | \bar{k} \rangle}_{} \cdot e^{2\pi i \bar{k} \cdot 1}$$

$$\langle 2 | \bar{k} \rangle = \langle 2 | \bar{k} \rangle \cdot e^{2\pi i \bar{k} \cdot 2}$$

:

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$$| \bar{k} \rangle = \frac{1}{\sqrt{L}} \sum_{\ell=1}^L | \ell \rangle \cdot \underbrace{e^{\frac{2\pi i \bar{k} \cdot \ell}{L}}} \quad \left. \right\} \text{discrete Fourier transform.}$$

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Recap: symmetry transform R : $[H, R] = 0$.

here: $R^L = 1$. (\mathbb{Z}_L symmetry)

The eigenvalues of R : $\lambda = e^{-2\pi i / L \cdot k}$ $k=1, \dots, L$

e-vector: $|k\rangle = \sum \frac{1}{\sqrt{L}} e^{+2\pi i k / L \cdot l} |l\rangle$

"momentum"
space

position space

Math jargon: each eigenvalue $\lambda \mapsto$ "eigenspace" $|k\rangle$
 (irrep =) irreducible representation

$R|k\rangle \mapsto$ other state in irrep

Schur's Lemma: eigen-vec of H organize into irreps
 $(|k\rangle)$

and e-vals same in each (copy of) irrep.

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$$H = -\beta \sum_{n=1}^L [|n\rangle \langle n+1| + |n+1\rangle \langle n|]$$

Since $[H, R] = 0$, $|k\rangle$ is eigenvector of H .

$$\begin{aligned}
 H|k\rangle &= \frac{1}{\sqrt{L}} \sum_n [e^{2\pi i k L}]^n \underbrace{H|n\rangle}_{-\beta|n-1\rangle - \beta|n+1\rangle} \\
 &= -\frac{\beta}{\sqrt{L}} \sum_n \left[(e^{2\pi i k L})^{n-1+1} |n-1\rangle + (e^{2\pi i k L})^{n+1-1} |n+1\rangle \right] \\
 &\quad \text{e}^{2\pi i k L} \cdot e^{2\pi i k L \cdot n'} \underbrace{n' = n-1}_{\text{e}} \\
 &= -\beta \left[e^{2\pi i k L} \left(\frac{1}{\sqrt{L}} \sum_{n'} e^{2\pi i k n' L} |n'\rangle \right) + \dots \right] \\
 &\quad 2 \cos \theta = e^{i\theta} + e^{-i\theta} \\
 &= -\beta (e^{2\pi i k L} + e^{-2\pi i k L}) \frac{|k\rangle}{\sqrt{L}} = -2\beta \cos\left(\frac{2\pi k}{L}\right) |k\rangle
 \end{aligned}$$

$k=1, \dots, L$

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