

**PHYS 4410**  
**Quantum Mechanics 2**  
**Spring 2023**

**Lecture 20**  
**The variational principle**

March 8

1 Most  $H$  cannot be diagonalized exactly.

$$H = \underbrace{\left[ \frac{\vec{p}_1^2}{2m} - \frac{2e^2}{4\pi\epsilon_0 |\vec{r}_1|} \right] + \left[ \frac{\vec{p}_2^2}{2m} - \frac{2e^2}{4\pi\epsilon_0 |\vec{r}_2|} \right]}_{\text{exactly solved}} +$$

E.g.

$$\frac{e^2}{4\pi\epsilon_0 |\vec{r}_1 - \vec{r}_2|}$$

"small" perturbation.

In this class:

1) variational principle: "good guess"  
- quantum chemistry  
- numerical methods (HW7 Prob 4)

2) perturbation theory  
- particle physics ...

3) WKB/semiclassical

2 The variational principle:

For any state  $|\psi\rangle$ :  $\frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} \geq E_0$ .

Why? Assume  $\langle \psi | \psi \rangle = 1$ :

$$|\psi\rangle = \sum_{n=0}^{\infty} c_n |E_n\rangle$$

g.s.

↓

$$E_0 \leq E_1 \leq E_2 \leq \dots$$

$$\langle \psi | H | \psi \rangle = \sum_{n=0}^{\infty} |c_n|^2 E_n = \sum_{n=0}^{\infty} |c_n|^2 [E_0 + (E_n - E_0)]$$

$$= \underbrace{E_0}_{\geq 0} + \underbrace{\sum_{n=0}^{\infty} |c_n|^2 \cdot (E_n - E_0)}_{\geq 0}$$

$$\langle \psi | \psi \rangle = \sum |c_n|^2 = 1$$

$\geq 0$

Thus:  $\langle \psi | H | \psi \rangle \geq E_0$

3 Example:  $H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$ .  $\psi(x|\sigma) = \frac{e^{-x^2/4\sigma^2}}{(2\pi\sigma^2)^{1/4}}$

Variational method: guess @ g.s. energy.

$$\langle \psi_{\text{trial}}(\sigma) | H | \psi_{\text{trial}}(\sigma) \rangle = E_0(\sigma) \geq E_{0,\text{true}}$$

Pick value of  $\sigma$  minimizes  $E_0(\sigma)$ .

$$\langle \psi | H | \psi \rangle = \frac{1}{2m} \left( \frac{\hbar}{2\sigma} \right)^2 + \frac{1}{2} m \omega^2 \sigma^2$$

Treat  $\sigma$  as continuous parameter... minimize  $\langle H \rangle = E_0(\sigma)$ :

$$\frac{dE_0}{d\sigma} = -\frac{\hbar^2}{4m\sigma^3} + m\omega^2 \sigma = 0 \quad \text{or} \quad \sigma = \sqrt{\frac{\hbar}{2m\omega}}$$

$$\langle \psi | H | \psi \rangle = \frac{\hbar^2}{8m} \frac{1}{\frac{\hbar}{2m\omega}} + \frac{m\omega^2}{2} \frac{\hbar}{2m\omega} = \frac{1}{2} \hbar \omega \quad \leftarrow \text{exact g.s. energy.}$$

Why? True g.s.  $\psi_0(x)$  was a Gaussian.  
(Generally won't happen).

4 Suppose particle in 1d:  $H = \frac{p^2}{2m} + V(x)$

$$\begin{aligned}\langle \psi | H | \psi \rangle &= \int_{-\infty}^{\infty} dx \psi^*(x) \left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right] \psi(x) \\ &= \int_{-\infty}^{\infty} dx V(x) |\psi|^2 - \frac{\hbar^2}{2m} \left[ \cancel{\psi^* \frac{d\psi}{dx}} \right]_{-\infty}^{\infty} - \int_{-\infty}^{\infty} dx \frac{d\psi^*}{dx} \frac{d\psi}{dx} \\ &= \int_{-\infty}^{\infty} dx \left[ V(x) |\psi|^2 + \frac{\hbar^2}{2m} \left| \frac{d\psi}{dx} \right|^2 \right]\end{aligned}$$

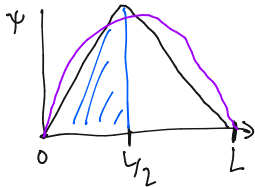
Generalize to higher dimensions:

$$\langle \psi | H | \psi \rangle = \int dV \left[ V(\vec{r}) |\psi(\vec{r})|^2 + \frac{\hbar^2}{2m} |\nabla \psi|^2 \right]$$

$\nabla \psi - \nabla \psi^*$   
 $\uparrow$

5 Example: particle in inf. sq. well.

$$\psi(x) = \begin{cases} Ax & 0 < x < L/2 \\ A(L-x) & L/2 < x < L \\ 0 & \text{otherwise} \end{cases}$$



Step 1: Normalize. (Find A).

$$1 = \int_0^L dx |\psi|^2 = 2 \int_0^{L/2} dx |\psi|^2 = 2 \int_0^{L/2} dx A^2 x^2 = 2A^2 \cdot \left. \frac{x^3}{3} \right|_0^{L/2}$$

$\frac{L^3}{24}$

$$A = \sqrt{\frac{12}{L^3}}$$

$$\begin{aligned} \text{Step 2: } \langle \psi | H | \psi \rangle &= \int_0^L dx \frac{\hbar^2}{2m} \left| \frac{d\psi}{dx} \right|^2 = 2 \int_0^{L/2} dx \frac{\hbar^2}{2m} A^2 = 2 \cdot \frac{\hbar^2}{2m} \cdot \frac{12}{L^3} \cdot \frac{L}{2} \\ &= \frac{6\hbar^2}{mL^2} > \text{ground state energy} = \frac{\hbar^2}{2m} \left( \frac{\pi}{L} \right)^2. \end{aligned}$$

What  $\psi(x)$  would minimize  $\langle H \rangle$ ?

$$\psi(x) = \sqrt{\frac{2}{L}} \sin \frac{\pi x}{L}.$$