

**PHYS 4410**  
**Quantum Mechanics 2**  
**Spring 2023**

**Lecture 24**

**Time-independent perturbation theory: introduction**

March 17

1 Perturbation theory: suppose

$\lambda =$  "bookkeeping" device ...

sets  $\lambda=1$ , but keep track of order

$$H = H_0 + \lambda V$$

"unperturbed" exactly solved small perturbation

Goal:  $H|n\rangle = E_n|n\rangle$   
exact eigenstate eigenvalue

Perturbation theory:  
 $E_n, |n\rangle$  as Taylor series in  $\lambda$

$$E_n = E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots$$

} perturbative corrections.

$$|n\rangle = |n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \dots$$

leading order

For Lec 24-26:  
assume  $H_0$  is non-degen.

$$E_n^{(0)} \neq E_{n'}^{(0)} \\ \text{if } n \neq n'.$$

If  $\lambda=0$ :  $H = H_0$ ,  
 $H_0|n^{(0)}\rangle = E_n^{(0)}|n^{(0)}\rangle$

2 Toy model:  $H_0 = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$

$H_0 \begin{pmatrix} 1 \\ 0 \end{pmatrix} = a \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  e.g.

$a \neq b$

$$V = \begin{pmatrix} \alpha & \gamma \\ \gamma & \beta \end{pmatrix}$$

$\alpha, \beta, \gamma$  small vs.  $a$  &  $b$ ?  
all parameters real.

Find eigenvalues of  $H = H_0 + \lambda V$  exactly.

$$\det(H - E\mathbb{1}) = 0 = \det(H_0 + \lambda V - E\mathbb{1})$$

$$= \det \begin{pmatrix} a + \lambda\alpha - E & \lambda\gamma \\ \lambda\gamma & b + \lambda\beta - E \end{pmatrix} = (a + \lambda\alpha - E)(b + \lambda\beta - E) - \lambda^2\gamma^2 = 0$$

$$0 = E^2 - E[a + \lambda\alpha + b + \lambda\beta] + [(a + \lambda\alpha)(b + \lambda\beta) - (\lambda\gamma)^2]$$

$$E = \frac{a + \lambda\alpha + b + \lambda\beta \pm \sqrt{(a + \lambda\alpha - b - \lambda\beta)^2 + 4\lambda^2\gamma^2}}{2}$$

3

$$E = \frac{a + \lambda\alpha + b + \lambda\beta \pm \sqrt{(a + \lambda\alpha - b - \lambda\beta)^2 + 4\lambda^2\gamma^2}}{2}$$

Assume  $a > b$ :  $\sqrt{1+x} \approx 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \dots$

$$\begin{aligned} \sqrt{(a-b + \lambda(\alpha-\beta))^2 + 4\lambda^2\gamma^2} &= (a-b) \sqrt{1 + 2\lambda \frac{\alpha-\beta}{a-b} + \lambda^2 \frac{(\alpha-\beta)^2 + 4\gamma^2}{(a-b)^2}} \\ &\approx (a-b) \left[ 1 + \lambda \frac{\alpha-\beta}{a-b} + \lambda^2 \frac{(\alpha-\beta)^2 + 4\gamma^2}{2(a-b)^2} + \dots - \frac{1}{8} \left( 2\lambda \frac{\alpha-\beta}{a-b} + \dots \right)^2 \right] \\ &\quad [\text{accurate to order } \lambda^2]: \text{ error is } \sim \lambda^3. \\ &\approx a-b + \lambda(\alpha-\beta) + \lambda^2 \cdot \frac{2\gamma^2}{(a-b)} \end{aligned}$$

$$E_+ = \frac{1}{2} \left[ a + \lambda\alpha + b + \lambda\beta + \left[ a-b + \lambda(\alpha-\beta) + \sqrt{2} \frac{2\gamma^2}{(a-b)} \right] \right]$$

$$= a + \lambda\alpha + \lambda^2 \frac{\gamma^2}{a-b}$$

$$E_- = b + \lambda\beta - \lambda^2 \frac{\gamma^2}{a-b}$$

first order:  $E_+^{(0)} = a$ ,  $E_+^{(1)} = \alpha$

depends on diagonal entries in  $V$   
lec 26: always depend on off-diagonal

second order:  $E_+^{(2)} = \frac{\gamma^2}{a-b}$

4 Claim:  $E_n^{(1)} = \langle n^{(0)} | V | n^{(0)} \rangle$  ( $H_0$  not degenerate)

Proof:

$$H |n\rangle = E_n |n\rangle$$

$$(H_0 + \lambda V) [ |n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \dots ] = [ E_n^{(0)} + \lambda E_n^{(1)} + \dots ] [ |n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \dots ]$$

Order  $\lambda^0$ :  $H_0 |n^{(0)}\rangle = E_n^{(0)} |n^{(0)}\rangle$  ✓

Order  $\lambda$ :  $V |n^{(0)}\rangle + H_0 |n^{(1)}\rangle = E_n^{(1)} |n^{(0)}\rangle + E_n^{(0)} |n^{(1)}\rangle$

Inner product w/  $\langle n^{(0)} |$ :

$$\langle n^{(0)} | V | n^{(0)} \rangle + \langle n^{(0)} | H_0 | n^{(1)} \rangle = E_n^{(1)} \langle n^{(0)} | n^{(0)} \rangle + E_n^{(0)} \langle n^{(0)} | n^{(1)} \rangle$$

$$= \langle n^{(0)} | \cdot E_n^{(0)}$$

5 Take  $H_0$  to be harmonic oscillator:  $\swarrow$  "small"

$$H_0 |n^{(0)}\rangle = \hbar\omega(n + 1/2) |n^{(0)}\rangle, \quad V = \frac{m}{2} \cdot C x^2$$

What is  $E_n^{(1)}$ ?

$$E_n^{(1)} = \langle n^{(0)} | \frac{m}{2} C x^2 | n^{(0)} \rangle$$

$$= \frac{mC}{2} \cdot \frac{\hbar}{2m\omega} \langle n^{(0)} | (a + a^\dagger)^2 | n^{(0)} \rangle$$

$$\underbrace{aa + aa^\dagger}_{n+1} + \underbrace{a^\dagger a + a^\dagger a^\dagger}_n$$

$$= \frac{C\hbar}{2\omega} (n + \frac{1}{2})$$

Exact solution:

$$H = \frac{p^2}{2m} + \frac{m}{2} \omega^2 x^2 + \frac{m}{2} C x^2 = \frac{p^2}{2m} + \frac{m}{2} (\omega^2 + C) x^2$$

$$E_n = \hbar \tilde{\omega} (n + \frac{1}{2}) = \hbar \sqrt{\omega^2 + C} (n + \frac{1}{2})$$

$$= \hbar \omega (n + \frac{1}{2}) \sqrt{1 + \frac{C}{\omega^2}} \approx \hbar \omega (n + \frac{1}{2}) \left[ 1 + \frac{C}{2\omega^2} \right]$$

Problems stated as follows.

What is  $E_n$  at first order in  $C$ ?

$$E_n = E_n^{(0)} + \sum E_n^{(1)}$$

$$= \hbar\omega(n + \frac{1}{2}) + \frac{\hbar C}{2\omega} (n + \frac{1}{2})$$

PT when perturb. is small

good approx.  $C \ll \omega^2$



