

**PHYS 5040**  
**Algebra and Topology in Physics**  
**Spring 2021**

**Lecture 12**

February 23

1 Review the representation theory of  $SU(2)$  and  $SO(3)$ .

algebra corresponds to both  $SU(2)$  and  $SO(3)$

$$\left\{ \begin{aligned} [J_x, J_y] &= i J_z \\ [J_y, J_z] &= i J_x \\ [J_z, J_x] &= i J_y \end{aligned} \right.$$

irreducible repres. of  $SU(2)$  of dimension  $2j+1$

$$[J^2, J_z] = 0$$
$$|j, m\rangle$$
$$j = 0, \frac{1}{2}, 1, \dots$$
$$m = -j, -j+1, \dots, j$$

allowed irreps of  $SO(3)$ ?  $j = 0, 1, 2, \dots$

in  $SO(3)$  we need rotation by  $2\pi$  to give identity matrix in representation  $R$ ,  $R(2\pi \text{ rotation}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

e.g.  $j = \frac{1}{2}$ :  $R(2\pi \text{ rotations}) = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$   
NOT representation of  $SO(3)$

2 Interpret  $1 \otimes 1 = 0 \oplus 1 \oplus 2$  in terms of vector "multiplication".

$|j=1, m_1\rangle \otimes |j=1, m_2\rangle$   
(uncoupled basis)

$|JM\rangle$   
(coupled basis)

$$J(J+1) = (\vec{J}_1 + \vec{J}_2)^2$$

$$M = m_1 + m_2$$

allowed  $J = 0, 1, 2$

"1" is an irrep of  $SO(3)$ ; is 3-dimensional:  $\begin{pmatrix} x \\ y \\ z \end{pmatrix}$

more generally  $\vec{A} = \begin{pmatrix} A_x \\ A_y \\ A_z \end{pmatrix} = A_i \quad (i \in \{x, y, z\})$

spin 1?  $\vec{A} \otimes \vec{B} \mapsto A_i B_j \mapsto \begin{pmatrix} A_x B_x & A_y B_x & A_z B_x \\ A_x B_y & A_y B_y & A_z B_y \\ A_x B_z & A_y B_z & A_z B_z \end{pmatrix} = M$

$\vec{A} \times \vec{B}$

spin 0?

$$\text{tr}(M)$$

$$A_x B_x + A_y B_y + A_z B_z$$

$$\vec{A} \cdot \vec{B} = A_i B_i$$

spin 2?

$$M - M^T$$

$$M + M^T - \frac{2}{3} \text{tr}(M)$$

$$\text{tr}(Q^T M R) = \text{tr}(M R Q^T) = \text{tr}(M)$$

$$M \rightarrow R^T M R$$

$$A_i B_j \rightarrow R_{ii'} A_{i'} \times R_{jj'} B_{j'}$$

3 What are the representations of  $O(2)$ ? What about  $SO(2)$ ?

$$O(2) = \{ M \in \mathbb{R}^{2 \times 2} : M^T M = \mathbb{1} \} \quad SO(2) = \{ M \in O(2) : \det(M) = 1 \}$$

$$\det(M)^2 = 1$$

two one dimensional irreps of  $O(2)$ :  $V_0^+ : V_0^+(M) = 1$   
 $V_0^- : V_0^-(M) = \det(M)$

two dimensional irreps of  $O(2)$ :  $R_1, R_2, R_3, \dots$

$$M_\theta = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \xrightarrow{\text{in } R_n} \begin{pmatrix} \cos(n\theta) & -\sin(n\theta) \\ \sin(n\theta) & \cos(n\theta) \end{pmatrix}$$

basis:  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm i \end{pmatrix}$

$$\searrow \begin{pmatrix} e^{in\theta} & 0 \\ 0 & e^{-in\theta} \end{pmatrix}$$

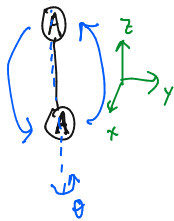
$$S = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \xrightarrow{\text{in } R_n} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$SO(2)$  irreps: label by integer  $n \in \mathbb{Z}$ :  $M_\theta \mapsto e^{in\theta}$   $S_n(M_\theta) = e^{in\theta}$

$$V_0^\pm \rightarrow \cancel{S_0} \quad R_n \rightarrow \cancel{n} \oplus \cancel{-n} \quad S_n \oplus S_{-n}$$

4

How does the  $j = 1$  irrep of  $SO(3)$  decompose into irreps of  $O(2)$ ?



invariant under  $O(2) \subseteq SO(3)$

$$M_{\theta} = \begin{pmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

What are electronic states?

Classify orbitals of A atoms into irreps of  $O(2)$ !

(s, p, d, ...)

$l = 0, 1, 2, \dots$

p-state ( $l=1$ ) (3-dim irrep of  $SO(3)$ )

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} \left. \begin{array}{l} \} R_1 \\ \} U_0^- \end{array} \right\}$$

$$1 \xrightarrow{SO(3)} U_0^- \oplus R_1 \xrightarrow{O(2)}$$

5 How does the  $j = 2$  irrep of  $SO(3)$  decompose into irreps of  $O(2)$ ?

$j=2$  irrep of  $SO(3) \rightarrow$  traceless, symmetric  $3 \times 3$  matrices

$$N = \begin{array}{c|cc|c} & x & y & z \\ \hline & \frac{-N_{zz} + b}{2} & a & N_{zx} \\ & a & \frac{N_{zz} + b}{2} & N_{zy} \\ \hline & N_{zx} & N_{zy} & N_{zz} \end{array}$$

$U_0^+$  •  $N_{zz}$  alone:  $N_{zz} = -N_{xx} - N_{yy}$

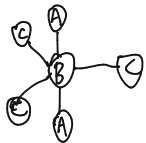
$R_1$  •  $\{N_{zx}, N_{zy}\}$  don't mix w/ other components...

$R_2$  • traceless symmetric  $2 \times 2$  matrix  
 $\begin{pmatrix} b & a \\ a & -b \end{pmatrix}$

$j=2$   $SO(3) \rightarrow U_0^+ \oplus R_1 \oplus R_2$   
 $O(2)$

6

Explain why the dihedral groups  $D_{2n} \leq O(2)$ . How do the irreps of  $O(2)$  decompose into irreps of  $D_{2n}$ ?



e.g.  $\rightarrow$

$$D_6 \leq SO(3)$$

$$r = \begin{pmatrix} \cos \frac{2\pi}{3} & -\sin \frac{2\pi}{3} \\ \sin \frac{2\pi}{3} & \cos \frac{2\pi}{3} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$$s = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$D_8, D_{12}, \dots$

Case 1 ( $n$  even):

$$U_0^+ \leftarrow$$

$$U_0^- \leftarrow$$

$$R_1 \leftarrow \text{irreps}$$

$$R_2 \leftarrow$$

$$R_3 \leftarrow$$

$\vdots$

$$R_{n/2} = U_{n/2}^+ \oplus U_{n/2}^-$$

$$\begin{array}{l} \dots R_{\frac{n}{2}+1} \rightarrow R_{\frac{n}{2}-1} \\ \vdots \\ R_n \rightarrow U_0^+ \oplus U_0^- \end{array}$$

Case 2 ( $n$  odd):

$$U_0^+$$

$$U_0^-$$

$$R_1$$

$$\vdots$$

$$R_{\frac{n+1}{2}}$$

$$R_{\frac{n+3}{2}} \rightarrow R_{\frac{n+1}{2}}$$

$\vdots$

7 Re-interpret the irreps of  $D_8$  in terms of branching rules from  $O(2)$ .

branching rules:  $R_2 \xrightarrow{O(2)} U_2^+ \oplus U_2^-$   
 $D_8$

$U_0^+, U_0^-, R_1$  of  $O(2)$  remained irreps of  $D_8 \dots R_2 \rightarrow U_2^+ \oplus U_2^-$

	$U_0^+$	$U_2^-$	$U_2^+$	$U_0^-$	$R_1$
	1	1'	1''	1'''	2
1	1	1	1	1	2
$r^2$	1	1	1	1	-2
$r$	1	-1	-1	1	0
$s$	1	-1	1	-1	0
$rs$	1	1	-1	-1	0

$U_0^-: r=1$

$U_2^-: r=-1$

$+$ : don't transform under refl.

$\pm$ : transform under  $s$  (or not)

$R_1: \begin{pmatrix} x \\ y \end{pmatrix}$  [position]

$R_1 \otimes R_1 = U_0^+ \oplus U_0^- \oplus U_2^+ \oplus U_2^-$

$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \xrightarrow{\text{change of vars}} \begin{pmatrix} \alpha + \gamma & -\beta + \delta \\ \beta + \delta & \alpha - \gamma \end{pmatrix}$

$d: U_0^+$

$B: U_0^-$

$U_2^+: \gamma$

$U_2^-: \delta$



8

Describe the most general form of the elasticity tensor in a two-dimensional crystal with  $D_{2n}$  invariance.