

PHYS 5210  
 Graduate Classical Mechanics  
 Fall 2023

Lecture 32

Perturbation theory: one degree of freedom

November 10

Ex ample:  $H = \underbrace{\frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2}_{H_0} + \underbrace{\epsilon x^4}_{\epsilon H_1}$  (take  $\epsilon$  to be perturbatively small)

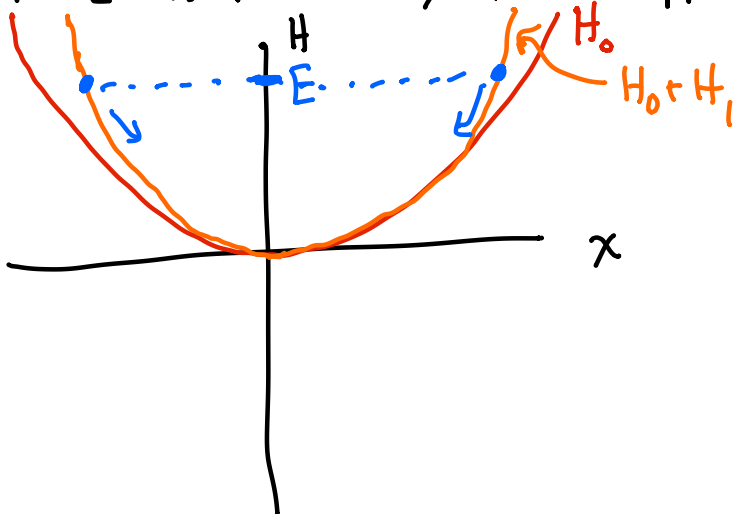
How small does  $\epsilon$  need to be?

$\epsilon A^4 \ll \frac{1}{2}m\omega^2 A^2 \approx E$ .  
 ↑  
 amplitude of  $x$

$A^2 \ll \frac{m\omega^2}{2\epsilon}$

or  $E \ll \frac{m^2\omega^4}{\epsilon}$

If  $E$  is this small, what happens?



motion = periodic  
 oscillate btwn  $\pm x$ .

Goal today:  $H_0$  is exactly solvable (integrable)

Build a perturbation theory that dynamics to "first order in  $\epsilon$ ."

Method 1: (literal interpretation?)

$$x(t) = x_0(t) + \epsilon x_1(t) + \epsilon^2 x_2(t) + \dots$$

$$p(t) = p_0(t) + \epsilon p_1(t) + \epsilon^2 p_2(t) + \dots$$

Plug & chug:  $[H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2 + \epsilon x^4]$

$$\dot{x} = \frac{\partial H}{\partial p} = \frac{p}{m}$$

$$\dot{x}_0 + \epsilon \dot{x}_1 + \dots = \frac{p_0 + \epsilon p_1 + \dots}{m}$$

$$\dot{p} = -\frac{\partial H}{\partial x} = -m\omega^2 x - 4\epsilon x^3$$

$$\dot{p}_0 + \epsilon \dot{p}_1 + \dots$$

$$= -m\omega^2(x_0 + \epsilon x_1) - 4\epsilon(x_0 + \epsilon x_1 + \dots)^3$$

Collect orders in  $\epsilon$ :

Order  $\epsilon^0$ :  $\dot{x}_0 = \frac{p_0}{m}$  and  $\dot{p}_0 = -m\omega^2 x_0$

Solved by:  $x_0 = A \cos(\omega t)$ ,  $p_0 = -m\omega^2 A \sin(\omega t)$ .

Order  $\epsilon^1$ :  $\dot{x}_1 = \frac{p_1}{m}$  and  $\dot{p}_1 = -m\omega^2 x_1 - 4x_0^3$

$$\hookrightarrow x_1(t) = \frac{3A^3}{8\omega^2} \left[ \underbrace{4\omega t \cos(\omega t)} + \sin(3\omega t) - 7\sin(\omega t) \right].$$

NOT good: diverges as  $t \rightarrow \infty$ , PT fails!

Why?  $x(t) = A \cos[(\omega_0 + \epsilon\omega_1 + \dots)t] + \dots$

$$\approx A \cos(\omega_0 t) - \underbrace{A \sin(\omega_0 t) \cdot \epsilon\omega_1 t + \dots}$$

similar divergence!

Method 2: AA variables. Better behaved?

Find new AA vars that keep problem integrable.

General theory: AA vars  $(\phi_0, J_0)$  for  $H_0$ .

$$H = H_0(J_0) + \epsilon H_1(J_0, \phi_0)$$

Goal: find Type 2 CT to new AA vars  $(J, \phi)$  such that

$$H(\phi_0, J_0) \rightarrow H(J)$$

Look for perturbatively small CT:

$$J = J_0 + \epsilon J_1 + \dots$$

$$\phi = \phi_0 + \epsilon \phi_1 + \dots$$

Generating function for CT:  $S(\phi_0, J) = \boxed{\phi_0 J} + \boxed{\epsilon S_1} + \epsilon^2 S_2 + \dots$

ensures  $J = J_0$   
 $\phi = \phi_0$  at  $\epsilon = 0$ .

focus

$$\text{Then: } J_0 = \frac{\partial S}{\partial \phi_0} = J + \epsilon \frac{\partial S_1}{\partial \phi_0} + \dots$$

$$\phi = \frac{\partial S}{\partial J} = \phi_0 + \epsilon \frac{\partial S_1}{\partial J} + \dots$$

Hamiltonian:  $H(J) = H_0(J_0) + \epsilon H_1(J_0, \phi_0)$

$$H(J) = H_0\left(J + \epsilon \frac{\partial S_1}{\partial \phi_0} + \dots\right) + \epsilon H_1\left(J + \epsilon \frac{\partial S_1}{\partial \phi_0}, \phi_0\right)$$

At order  $\epsilon^0$ :  $H(J) = H_0(J)$  [do nothing]

$$\text{At order } \epsilon: H(J) = H_0(J) + \epsilon \frac{\partial S_1}{\partial \phi_0} \underbrace{\frac{\partial H_0(J)}{\partial J}}_{\omega_0} + \epsilon H_1(J, \phi_0)$$

$$H(J) = H_0(J) + \epsilon \left[ \omega_0 \frac{\partial S_1}{\partial \phi_0} + H_1 \right]$$

only a function of  $J$ ?

Brute force solution: use periodicity of  $\phi_0$  to expand  $H_1$  &  $S_1$  as Fourier series:

$$S_1(J, \phi_0) = \sum_{m=-\infty}^{\infty} e^{im\phi_0} s_m(J), \quad H_1 = \sum_{m=-\infty}^{\infty} e^{im\phi_0} h_m(J)$$

↓

$$\frac{\partial S_1}{\partial \phi_0} = \sum_{m \neq 0} e^{im\phi_0} \cdot im s_m(J)$$

Goal:  $\omega_0 \frac{\partial S_1}{\partial \phi_0} + H_1$  only have  $m=0$  harmonic.

$$\hookrightarrow \sum_{m=-\infty}^{\infty} \left[ im s_m(J) \omega_0 + h_m(J) \right] e^{im\phi_0}$$

Pick  $s_m = 0$  ( $m=0$ )

$s_m = -\frac{h_m}{im\omega_0}$  so terms above cancel if  $m \neq 0$ .

Thus, found new AA variables!

$$H(J) = H_0(J) + \varepsilon h_0(J) \quad \leftarrow \text{first order correction is } \phi_0\text{-ind. part of pert.}$$

$$\hookrightarrow h_0(J_0) = \frac{1}{2\pi} \int_0^{2\pi} d\phi_0 H_1(J_0, \phi_0)$$

= t-avg of  $H_1$

Calculate perturbed frequency!

$$\omega = \frac{\partial H}{\partial J} = \omega_0 + \varepsilon \frac{\partial h_0}{\partial J} + \dots$$

Example again:  $H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2 + \epsilon x^4$

Convert to AA variables of  $H_0$  (lec 29).

$$H = \omega J_0 + \epsilon \left( \sqrt{\frac{2J_0}{m\omega}} \cos \phi_0 \right)^4$$

Calculate

$$\begin{aligned} h_0(J) &= \frac{1}{2\pi} \int_0^{2\pi} d\phi_0 H_1(J, \phi_0) = \frac{1}{2\pi} \int_0^{2\pi} d\phi_0 \frac{4J^2}{m^2\omega^2} \cdot \cos^4 \phi_0 \\ &= \frac{3}{2} \frac{J^2}{m^2\omega^2} \end{aligned}$$

New Hamiltonian:  $H(J) = \omega J + \epsilon \cdot \frac{3}{2} \frac{J^2}{m^2\omega^2}$

New oscillation frequency:

$$\omega_{\text{new}} = \frac{\partial H}{\partial J} = \omega + 3\epsilon \frac{J}{m^2\omega^2} \rightarrow \omega + 3\epsilon \frac{E}{m^2\omega^3}$$

small if  $\omega \gg \frac{E\epsilon}{m^2\omega^3}$  ✓