

PHYS 5210  
Graduate Classical Mechanics  
Fall 2023

Lecture 33

Perturbation theory: many degrees of freedom

November 13

Today: perturbation theory from old AA  $(\phi_{0A}, J_A)$   
↓  
new AA  $(\phi_A, J_A)$

given Hamiltonian  $H = H_0(\vec{J}_0) + \epsilon H_1(\vec{J}_0, \vec{\phi}_0)$

In new coords: want  $H = H(\vec{J}) \rightarrow$  integrable!

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Follow lec32: look for Type 2 CT

$$S(\vec{\phi}_0, \vec{J}) = \underbrace{\vec{\phi}_0 \cdot \vec{J}}_{\sum_A \phi_{0A} J_A} + \epsilon S_1(\vec{\phi}_0 + \vec{J}) + \text{higher orders} \dots$$

$$\downarrow$$
$$\phi_A = \frac{\partial S}{\partial J_A} = \phi_{0A} + \epsilon \frac{\partial S_1}{\partial J_A} + \dots$$

$$J_{0A} = \frac{\partial S}{\partial \phi_{0A}} = J_A + \epsilon \frac{\partial S_1}{\partial \phi_{0A}} + \dots$$

In new coords:

$$H = H_0(\vec{J}_0) + \epsilon H_1(J_{0A}, \phi_{0A})$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$H_0(J_A + \epsilon \frac{\partial S_1}{\partial \phi_{0A}}) \qquad \epsilon H_1(J_A, \phi_{0A}) + \dots$$

$$H = H_0(J_A) + \epsilon \left[ \sum_A \underbrace{\frac{\partial H_0}{\partial J_A}}_{= \omega_{0A}} \frac{\partial S_1}{\partial \phi_{0A}} + H_1(J_A, \phi_{0A}) \right]$$

Expand  $H_1, S_1$  as Fourier series:

$$S_1 = \sum_{\text{each } m_A = -\infty}^{\infty} e^{i\vec{m} \cdot \vec{\phi}_0} s_{\vec{m}}(\vec{J})$$

$$H_1 = \sum_{m_A = -\infty}^{\infty} e^{i\vec{m} \cdot \vec{\phi}_0} h_{\vec{m}}(\vec{J})$$

We need:  $\epsilon \sum_{\vec{m}} e^{i\vec{m} \cdot \vec{\phi}_0} \left[ \sum_A \omega_{0A} i m_A s_{\vec{m}}(\vec{J}) + h_{\vec{m}}(\vec{J}) \right]$

to be ind. of  $\vec{\phi}_0$ .

choose

given

Choose:  $s_{\vec{0}}(\vec{J}) = 0$  (if all  $m_A = 0$ )

$$s_{\vec{m}} = - \frac{h_{\vec{m}}(\vec{J})}{i\vec{m} \cdot \vec{\omega}_0} \quad (\text{if } \vec{m} \neq \vec{0})$$

And:

$$H = H_0(\vec{J}) + \epsilon h_{\vec{0}}(\vec{J}) + 0 = H(\vec{J})$$

problem stayed integrable?

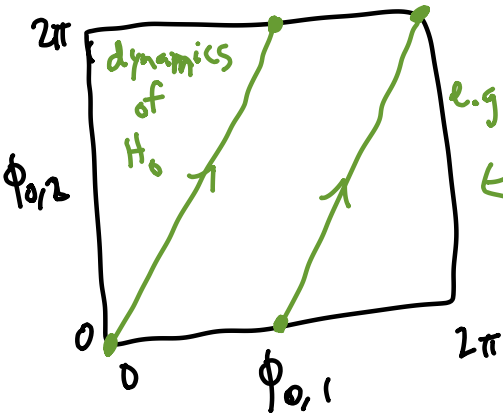
Any loophole?

$$\vec{m} \cdot \vec{\omega}_0 = 0?$$

if happens when  $h_{\vec{m}} \neq 0$ , then PT fails!

regions where AA defined "split"

Suppose we have 2 DoF. Recall:



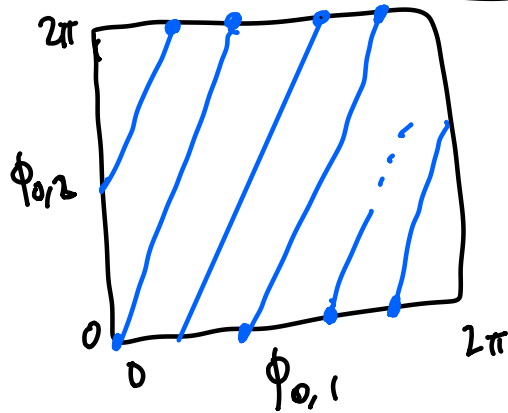
commensurate frequencies:  $\vec{\omega}_0 = (\omega_1, \omega_2)$   
 e.g.  $\frac{\omega_1}{\omega_2} = \frac{1}{2}$  where  $\frac{\omega_1}{\omega_2} = \text{rational} = \frac{\text{integer}_1}{\text{integer}_2}$

here PT fail if:

•  $m_1 \omega_1 + m_2 \omega_2 = 0$

$m_1 + 2m_2 = 0$

• e.g.  $m_1 = 2, m_2 = -1$  need:  $h_{2,-1}(\vec{J}) \neq 0$ .



incommensurate frequencies:  $\frac{\omega_1}{\omega_2} = \text{irrational}$

Trajectories are dense on  $T^2$ .

- explore 2d of phase space.

Strictly: no  $\vec{m}$  where  $\vec{m} \cdot \vec{\omega}_0 = 0$ .

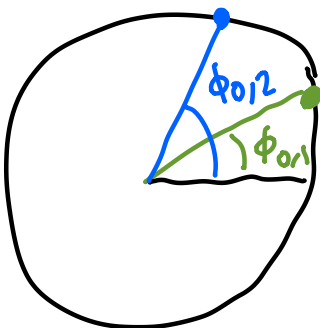
but:  $\vec{m} \cdot \vec{\omega}_0$  can be as small as wanted?

If:  $\epsilon \frac{h_{\vec{m}}}{i\vec{m} \cdot \vec{\omega}_0}$  small ... then  $h_{\vec{m}}$  need to decay fast enough.

(KAM: Lec. 42 - it is possible for  $h_{\vec{m}} \rightarrow 0$  fast enough...)

Conclusion:  $n > 1$  DOF: PT can fail  
 $\rightarrow$  commensurate orbits vulnerable  $\rightsquigarrow$  chaos

Example: 2 particles on ring.



$$H = \underbrace{\left[ \frac{J_{\phi_1}^2}{2I} + \frac{J_{\phi_2}^2}{2I} \right]}_{H_0} + \epsilon \cos(\phi_{0,1} - \phi_{0,2})$$

First order PT:

$$h_{m_1, m_2} = \frac{1}{(2\pi)^2} \int_0^{2\pi} d\phi_{0,1} \int_0^{2\pi} d\phi_{0,2} H_1 e^{-i(m_1 \phi_{0,1} + m_2 \phi_{0,2})}$$

Or:  $\cos(\phi_{0,1} - \phi_{0,2}) = \frac{1}{2} \left[ e^{i(\phi_{0,1} - \phi_{0,2})} + e^{-i(\phi_{0,1} - \phi_{0,2})} \right]$

which  $h_m \neq 0$ ?

$(m_1, m_2) = (1, -1)$   $= (-1, 1)$

$h_{1,-1} = h_{-1,1} = \frac{1}{2}$

$S_{1,-1} = -\frac{1}{2i(\omega_{0,1} - \omega_{0,2})} = -S_{-1,1}$  }  $S_1 = \phi_{0,1} J_1 + \phi_{0,2} J_2 + \frac{\sin(\phi_{0,1} - \phi_{0,2})}{2(\omega_{0,1} - \omega_{0,2})}$

Can PT fail?

$\omega_{0,1} = \frac{\partial H_0}{\partial J_1} = \frac{J_1}{I}$  and  $\omega_{0,2} = \frac{\partial H_0}{\partial J_2} = \frac{J_2}{I}$

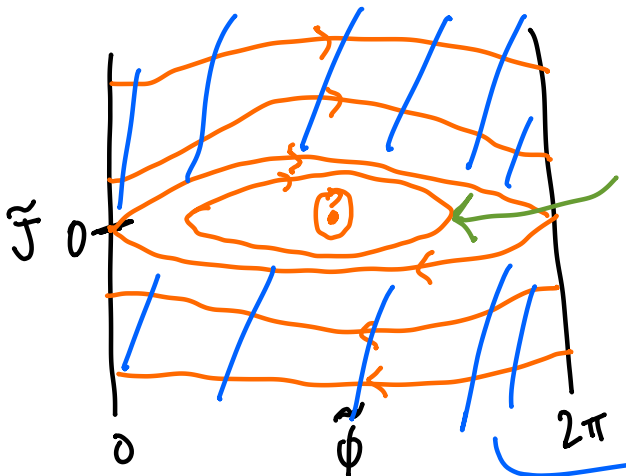
Perturbation theory fails if  $J_1 \approx J_2$ .

To understand why, switch coords: (via CT)

$\bar{J} = J_{0,1} + J_{0,2}$   $\bar{\phi} = \frac{\phi_{0,1} + \phi_{0,2}}{2}$  }  $\{\bar{\phi}, \bar{J}\} = 1$   
 $\tilde{J} = \frac{J_{0,1} - J_{0,2}}{2}$   $\tilde{\phi} = \phi_{0,1} - \phi_{0,2}$  }  $\{\tilde{\phi}, \tilde{J}\} = 1$

"center of mass coords"

$H = \frac{\bar{J}^2}{4I} + \frac{\tilde{J}^2}{I} + \epsilon \cos \tilde{\phi}$  [exact, not PT]



When  $\tilde{J} \sim \sqrt{\epsilon I}$ , PT fails.

shape of orbit changed from



$(\tilde{\phi}, \tilde{J})$

AA vars smoothly connected to  $(\tilde{\phi}, \tilde{J})$