

PHYS 5210  
Graduate Classical Mechanics  
Fall 2024

Lecture 26  
Hamiltonian field theory

October 25

It was natural to take a continuum limit:

"mechanics"  
(point particle)

$$S = \int dt L(x_i, \dot{x}_i)$$

field theory  
(continuum)

$$S = \int d^d x \mathcal{L}(\phi, \partial_\mu \phi)$$

It usually is NOT as nice to generalize Hamiltonians...

$$S = \int dt [p_i \dot{x}_i - H]$$

~~$$S = \int dt dx [\pi \partial_t \phi \partial_x \phi + \dots - \mathcal{H}]$$~~

~~"multi-symplectic" geometry~~

$$\rightarrow S = \int dt dx [\pi \partial_t \phi - \mathcal{H}]$$
  
time is still special

E.g. in relativity, Lagrangian field theory had manifest Lorentz invariance, but not Hamiltonian.

Example 1: Taking the continuum limit  
cf lec 12: chain of interacting particles (~1d solid)

... Discretize ...

$$H = \sum_i \frac{p_i^2}{2m} + \sum_i \frac{1}{2} k (\phi_i - \phi_{i+1})^2 \quad \text{w/} \quad \{\phi_i, p_j\} = \delta_{ij}.$$

$$S = \int dt \left[ \sum_i p_i \dot{\phi}_i - H(\phi, p) \right] \quad \int dx \mathcal{H} = H$$

$$\hookrightarrow S = \int dt dx \left[ \pi \partial_t \phi - \mathcal{H} \right] \quad \text{where } \mathcal{H} = \frac{\pi^2}{2m} + \frac{1}{2} k (\partial_x \phi)^2$$

"momentum density"

Euler-Lagrange equations:

$$\frac{\delta S}{\delta \pi} = 0 = \partial_t \phi - \frac{\pi}{m}, \quad \frac{\delta S}{\delta \phi} = 0 = -\partial_t \pi - \partial_x (-k \partial_x \phi)$$

$$\pi = m \partial_t \phi$$

$$\partial_t \pi = k \partial_x^2 \phi$$

$$\hookrightarrow m \partial_t^2 \phi = k \partial_x^2 \phi \quad (\text{same wave equation as Lec 12})$$

Hamiltonian interpretation?

canonically conjugate fields:

$$\{\phi_i, p_j\} = \delta_{ij}$$

$$\xrightarrow{\text{continuum}} \{\phi(x), \pi(x')\} = \delta(x-x')$$

$$\text{Dirac } \delta: \int_{-\infty}^{\infty} dx \delta(x) f(x) = f(0).$$

$$[\text{e.g. } \sum_j \{\phi_j, p_j\} = 1 \leadsto \int dx' \{\phi(x), \pi(x')\} = 1]$$

Claim: If  $H = \int dx \mathcal{H}$  (or more generally), then  $\dot{F} = \{F, H\}$ .

Technical tool: functional derivative!

$$\frac{d}{dt} F[\phi, \pi] = \int dx \left[ \partial_t \phi(x) \frac{\delta F}{\delta \phi(x)} + \partial_t \pi(x) \frac{\delta F}{\delta \pi(x)} \right] \quad (\text{vs. } \dot{F} = \dot{\xi}^a \partial_a F)$$

chain rule

Plug in Hamilton's equations:

$$S = \int dt dx (\pi \partial_t \phi) - \int dt H[\pi, \phi]$$

$$\underbrace{\frac{\delta S}{\delta \pi(x,t)}}_{\text{varying over field in both } x \text{ \& } t} = \partial_t \phi - \underbrace{\frac{\delta H}{\delta \pi(x)}}_{\text{functional derivative on } H \text{ w.r.t. } H[\phi(x), \pi(x)]} \quad (\text{generalize } \dot{\phi}_i = \frac{\partial H}{\partial p_i}) \quad (\text{i.e. @ fixed } t)$$

Similarly:  $\partial_t \pi = - \frac{\delta H}{\delta \phi}$ . So:

$$\dot{F} = \int dx \left[ \frac{\delta F}{\delta \phi(x)} \frac{\delta H}{\delta \pi(x)} - \frac{\delta F}{\delta \pi(x)} \frac{\delta H}{\delta \phi(x)} \right] \stackrel{\text{definition}}{=} \{F, H\} \quad \text{generalized Poisson bracket}$$

Example 2: Klein-Gordon theory

$$\mathcal{L} = -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{m^2}{2} \phi^2 \quad \leftarrow \text{Lorentz invariance manifest!}$$

$$= \frac{1}{2} (\partial_t \phi)^2 - \frac{1}{2} \partial_i \phi \partial_i \phi - \frac{m^2}{2} \phi^2$$

$ij$  index: spatial derivatives only

Lorentz NOT as clear

Legendre transform:  $\pi = \frac{\partial \mathcal{L}}{\partial (\partial_t \phi)} = \partial_t \phi$

so:  $\mathcal{H} = \pi \partial_t \phi - \mathcal{L} = \frac{1}{2} \pi^2 + \frac{1}{2} \partial_i \phi \partial_i \phi + \frac{m^2}{2} \phi^2$

$$\begin{aligned} \partial_t \phi(x) &= \{ \phi(x), H \} = \{ \phi(x), \int dx' \mathcal{H} \} \\ &= \int dx' \{ \phi(x), \pi(x') \} \frac{\delta H}{\delta \pi(x')} = \int dx' \delta(x-x') \pi(x') \\ &= \pi(x) \end{aligned}$$

$$\partial_t \pi = \{ \pi(x), H \} = - \frac{\delta H}{\delta \phi(x)} = - \frac{\partial \mathcal{H}}{\partial \phi} + \partial_i \frac{\partial \mathcal{H}}{\partial (\partial_i \phi)} = \partial_i \partial_i \phi - m^2 \phi$$

↳ Combine:  $\partial_t^2 \phi = \partial_x^2 \phi - m^2 \phi$  (Klein-Gordon eq)

Comment: Hamiltonian structure is "forced"?  
↳ historical path to QFT.

Example 3: U(1) symmetry / superfluid (HW5/ Lec 14)

$$\mathcal{L} = i \bar{\psi} \partial_t \psi - \frac{1}{2m} \partial_x \bar{\psi} \partial_x \psi - V(\bar{\psi} \psi)$$

$$\hookrightarrow \frac{\delta \mathcal{L}}{\delta \bar{\psi}} = 0 = i \partial_t \psi - V'(\bar{\psi} \psi) \psi + \frac{1}{2m} \partial_x^2 \psi \quad \leftarrow \begin{array}{l} \text{nonlinear} \\ \text{Schrödinger} \\ \text{equation} \end{array}$$

Key observation:  $\mathcal{L}$  only has one  $\partial_t$



Clue: Hamiltonian perspective will be fruitful.

$i\bar{\psi}$  is canonical conjugate of  $\psi$ :  $\{\psi(x), i\bar{\psi}(x')\} = \delta(x-x')$

↳ in QM: become  $[\psi(x), \psi^\dagger(x')] = -i \cdot i \delta(x-x')$   
 $\uparrow i[A, B] \leftrightarrow \{A, B\}$

$$[\psi(x), \psi^\dagger(x')] = \delta(x-x')$$

which is creation/annihilation algebra of harmonic oscillator

↳ "second quantization"

Also:  $\mathcal{L}$  has a U(1) symmetry:

$$\psi \rightarrow \psi e^{i\alpha}, \quad \bar{\psi} \rightarrow \bar{\psi} e^{-i\alpha}$$

for const.  $\alpha$

Follow HW5 and write:

$$\psi = \sqrt{\rho} e^{-i\theta} \quad \text{and} \quad \bar{\psi} = \sqrt{\rho} e^{i\theta}$$

$\rho \rightarrow \rho$  (particle density)  
 $\theta \rightarrow \theta - \alpha$

↳ a few lines of algebra...

$$\mathcal{L} = \boxed{\rho \partial_t \theta} - \frac{\rho}{2m} (\partial_x \theta)^2 - \dots - V(\rho)$$

phase  $\theta$  and density  $\rho$  are canonical conjugate variables:

$$\{\theta(x), \rho(x')\} = \delta(x-x')$$

The U(1) symmetry is generated by  $Q = \int dx \rho(x)$  :  
total charge/particle number

$$\theta(x) \rightarrow \theta(x) + \varepsilon \{ \theta(x), Q \}$$

$$\downarrow$$
$$\{ \theta(x), Q \} = \int dx' \{ \theta(x), \rho(x') \} = \int dx \delta(x-x') = 1$$

$$\text{So } \theta(x) \rightarrow \theta(x) + \varepsilon$$

Noether's Thm still works: symmetry  $\Leftrightarrow$  conservation law,