

PHYS 5210  
Graduate Classical Mechanics  
Fall 2024

Lecture 32

Perturbation theory: one degree of freedom

November 8

Start w/ integrable system  $H_0(\vec{J})$

↳ have AA variables!

Perturb:  $H(\vec{J}, \vec{\phi}) = H_0(\vec{J}) + \epsilon H_1(\vec{J}, \vec{\phi})$ . What happens?

Today: 1 DOF (2d phase space) → integrable anyway.

Example:  $H = \underbrace{\frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2}_{H_0} + \underbrace{\epsilon x^4}_{H_1}$  → taking  $\epsilon$  to be perturbatively small!

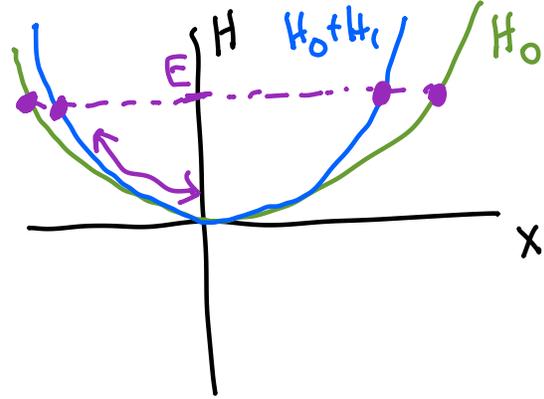
If  $H_1$  perturbative, how small is  $\epsilon$ ?

$H_1 \ll H_0$ . Suppose oscillation of amplitude  $x \sim A$ .

$$\hookrightarrow \epsilon A^4 \ll \frac{1}{2}m\omega^2 A^2 \sim E \quad \leadsto \quad A^2 \ll \frac{m\omega^2}{\epsilon}$$

$$\text{or } E \ll \frac{(m\omega^2)^2}{\epsilon}$$

what happens if  $E$  is this small?



dynamics still oscillates.  
 $\hookrightarrow$  system is still integrable...

Method I: Literal Interpretation.

$$x(t) = x_0(t) + \epsilon x_1(t) + \dots \quad H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2 + \epsilon x^4$$

$$p(t) = p_0(t) + \epsilon p_1(t) + \dots$$

$$\dot{x} = \frac{\partial H}{\partial p} = \frac{p}{m}$$

$$\dot{x}_0 + \epsilon \dot{x}_1 + \dots = \frac{p_0}{m} + \epsilon \frac{p_1}{m} + \dots$$

$$\dot{p} = -\frac{\partial H}{\partial x} = -m\omega^2 x - 4\epsilon x^3$$

$$\dot{p}_0 + \epsilon \dot{p}_1 = -m\omega^2 (x_0 + \epsilon x_1) - 4\epsilon (x_0 + \epsilon x_1)^3$$

Collect terms at  $\mathcal{O}(\epsilon^0)$ :

$$\dot{x}_0 = \frac{p_0}{m} \quad \text{and} \quad \dot{p}_0 = -m\omega^2 x_0$$

Solve:  $x_0 = A \cos(\omega t)$  and  $p_0(t) = m\omega A \sin(\omega t)$

Terms at  $\mathcal{O}(\epsilon)$ :  $x_1(0) = p_1(0) = 0$ . sources a perturbation...

$$\dot{x}_1 = \frac{p_1}{m} \quad \dot{p}_1 = -m\omega^2 x_1 - 4x_0^3$$

$$\hookrightarrow x_1(t) = \frac{3A^3}{8\omega^2} \left[ \sin(3\omega t) - 7\sin(\omega t) + \underbrace{4\omega t \cos(\omega t)} \right]$$

diverges as  $t \rightarrow \infty$ .  
 so PT failed.  $\hat{=}$  ( $x_1 \gg x_0$ )

Why?  $x(t) \sim (A_0 + \epsilon A_1) \cos((\omega_0 + \epsilon \omega_1)t + \epsilon t_1)$

$$x_1(t) \sim \omega_1 t \sin(\omega_0 t) \dots$$

qualitatively similar

Method 2: transform from **old**  $\rightarrow$  **new** AA variables.  
 $(J_0, \phi_0) \quad (J, \phi)$

Find a CT such that  $H_0(J_0) + \epsilon H_1(J_0, \phi_0) \rightarrow H(J)$

$\hookrightarrow$  Find Type 2 generating function: (lec 27)

$$S(\phi_0, J) = \phi_0 J + \epsilon S_1 + \epsilon^2 S_2 + \dots$$

$$\hookrightarrow J_0 = \frac{\partial S}{\partial \phi_0} = J + \epsilon \frac{\partial S_1}{\partial \phi_0} + \dots$$

$$\phi = \frac{\partial S}{\partial J} = \phi_0 + \epsilon \frac{\partial S_1}{\partial J} + \dots$$

$$H(J) = H_0(J_0) + \epsilon H_1(J_0, \phi_0)$$

$$= H_0\left(J + \epsilon \frac{\partial S_1}{\partial \phi_0} + \dots\right) + \epsilon H_1\left(J + \epsilon \frac{\partial S_1}{\partial \phi_0} + \dots, \phi_0\right).$$

At order  $\mathcal{O}(\epsilon^0)$ :  $H(J) \approx H_0(J) + \dots$

At order  $\mathcal{O}(\epsilon)$ :  $H \approx H_0(J) + \left[ \frac{\partial H_0}{\partial J} \frac{\partial S_1}{\partial \phi_0} + H_1(J, \phi_0) \right] \epsilon + \dots$

call this  $\omega_0(J)$

The left hand side should only depend on  $J$ .

Choose  $S_1$  to make this happen!

Brute force: write:

$$H_1(J, \phi_0) = \sum_{m=-\infty}^{\infty} e^{im\phi_0} h_m(J) \quad \& \quad S_1(J, \phi_0) = \sum_{m=-\infty}^{\infty} e^{im\phi_0} s_m(J).$$

$$H(J) = H_0(J) + \epsilon \left[ \omega_0 \sum_m im e^{im\phi_0} s_m + \sum_m e^{im\phi_0} h_m \right].$$

$$\epsilon \sum_m e^{im\phi_0} \left[ im\omega_0 s_m + h_m \right]$$

must vanish if  $m \neq 0$ .

So choose:  $S_m = -\frac{h_m}{im\omega_0}$  if  $m \neq 0$ . (Can take  $s_0 = 0$ ).

Then: new  $H(J) = H_0(J) + \epsilon h_1(J) + \dots$

Find  $h_1$ :  $h_1(J) = \int_0^{2\pi} \frac{d\phi_0}{2\pi} e^{-i0 \cdot \phi_0} H_1(J, \phi_0)$

$\sim$   $t$ -avg of perturbation  $H_1$  (cf. ergodicity lec 3!)

Most important result:

perturbed frequencies:  $\frac{\partial H}{\partial J} = \omega_0(J) + \epsilon \frac{\partial h_1}{\partial J} + \dots$  → generally not 0...

cures old problem. PT well-behaved (here).



Example again:  $H = \underbrace{\frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2}_{H_0} + \underbrace{\epsilon x^4}_{H_1}$

lec 29: convert to AA variables: old  $(J_0, \phi_0)$

$$H = \omega J_0 + \epsilon \left( \sqrt{\frac{2J_0}{m\omega}} \sin \phi_0 \right)^4$$

Calculate  $h_1(J) = \frac{1}{2\pi} \int_0^{2\pi} d\phi_0 \left( \frac{2J_0}{m\omega} \right)^2 \sin^4 \phi_0 = \frac{3}{2} \frac{J^2}{m^2 \omega^2}$

New Hamiltonian  $H(J) = \omega J + \epsilon \cdot \frac{3}{2} \frac{J^2}{m^2 \omega^2} + \dots$

Oscillation frequency:  $\omega(J) = \frac{\partial H}{\partial J} = \omega + 3\epsilon \frac{J}{m^2 \omega^2} + \dots$

$\rightarrow \omega(E) \approx \omega + \frac{3E\epsilon}{m^2 \omega^3} + \dots$

When will PT fail?  $\mathcal{O}(\epsilon)$  term is comparable to  $\mathcal{O}(\epsilon^0)$ ...

when  $E \gtrsim \frac{m^2 \omega^4}{\epsilon}$ , confirms earlier intuition.  $\Downarrow$