

PHYS 7810  
Hydrodynamics  
Spring 2024

Lecture 21  
The BBGKY hierarchy

April 4

So far we've discussed hydrodynamics as an effective field theory

Now, kinetic theory: a "microscopic derivation" of hydro for gases/systems with weak interactions

Why? Alternate/historical perspective  $\rightarrow$  "first principles" calculation of viscosity! a window into beyond-hydro regime...

Starting point: weakly interacting classical Hamiltonian system:

$$H = \sum_{\alpha=1}^N \left[ \frac{\vec{p}_{\alpha}^2}{2m} + U(\vec{x}_{\alpha}) \right] + \sum_{\alpha < \beta} V_2(|\vec{x}_{\alpha} - \vec{x}_{\beta}|)$$

Annotations:  $\vec{p}_{\alpha}^2$  has an arrow pointing to "external potential";  $V_2$  has an arrow pointing to "two-body interaction".

Can replace w/ anisotropic (Lec 20, HW6) which particle  $\alpha=1, \dots, N$

Use Poisson bracket:  $\{x_{i\alpha}, p_{j\beta}\} = \delta_{\alpha\beta} \delta_{ij}$  which spatial dimension  $i=1 \dots d$ .

Collect into phase space  $\zeta_{\alpha}^I = (x_{i\alpha}, p_{i\alpha})$

Goal: Solve for phase space probability distribution  $P(\zeta, t)$ .

Follow lec 3-5 ... Fokker-Planck equation:

$$\partial_t P = - \sum_{\alpha \in I} \frac{\partial}{\partial \xi_{\alpha}^I} \left( \{ \xi_{\alpha}^I, H \} P \right) \quad (\text{aka Liouville's equation})$$

Is exact! But unwieldy... too high-dimensional, not dissipative...

Idea: Kinetic theory  $\rightsquigarrow$  clever "truncation" of FPE.

In particular... when interactions are weak, most of experimental observables captured by one-particle distribution function

$$f_1(\xi^I, t) = \left\langle \sum_{\alpha=1}^N \delta(\xi^I - \xi_{\alpha}^I) \right\rangle = \int (d^{2d} \xi) P(\xi, t) \sum_{\alpha} \delta(\xi^I - \xi_{\alpha}^I)$$

$\hookrightarrow$  often just called  $\rightarrow f$ .

For example:

particle number density  $\rho(x, t) = \int d^d p f_1(x, p, t)$

momentum density  $g_i(x, t) = \int d^d p f_1 \cdot p_i$

Goal: find an approximate (?) equation just for  $f_1$ .

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Useful to assume initial condition on

$$P(\xi_1^I, \xi_2^I, \dots) = P(\xi_2^I, \xi_1^I, \dots) \quad (\text{permutation-symmetric})$$

$\rightarrow$  "indistinguishable particles!"

Since  $H$  is perm. symmetric...

$P$  is perm. symmetric for all  $t$ .

[Assuming that one species of particles... "easy" to generalize]

So capture  $P$  via...  $f_1(\xi_1) = N \int d^{2d} \xi_2 \dots d^{2d} \xi_N P$

For later... we'll want  $n$ -particle correlations:

$$f_n(\xi_1, \dots, \xi_n) = \frac{N!}{(N-n)!} \int d^{2d} \xi_{n+1} \dots d^{2d} \xi_N P$$

Now, calculate exactly  $f_1$ 's equation of motion:

$$\partial_t f_1 = N \int d^{2d} \zeta_2 \dots \partial_t P = N \int d^{2d} \zeta_2 \dots \left[ - \sum_{\alpha=1}^N \frac{\partial}{\partial \zeta_2^\alpha} \left( \{ \zeta_2^\alpha, H \} P \right) \right]$$

All of terms are total derivatives... except for  $\partial/\partial \zeta_1^\alpha$ :

$$\partial_t f_1 = -N \frac{\partial}{\partial \zeta_1^\alpha} \int d^{2d} \zeta_2 \dots \underbrace{\{ \zeta_1^\alpha, H \}} P$$

Use Hamilton's equation:

$$\{ x_{i1}, H \} = \frac{\partial H}{\partial p_{i1}} = \frac{p_{i1}}{m}$$

$$\{ p_{i1}, H \} = - \frac{\partial H}{\partial x_{i1}} = - \frac{\partial U(x_1)}{\partial x_{i1}} - \sum_{\alpha=2}^N \frac{\partial}{\partial x_{i1}} V_2(|\vec{x}_1 - \vec{x}_\alpha|)$$

$$\partial_t f_1 = -N \int d^{2d} \zeta_2 \dots \left[ \frac{\partial}{\partial x_{i1}} \left( \frac{p_{i1}}{m} P \right) + \frac{\partial}{\partial p_{i1}} \left( - \frac{\partial U(x_1)}{\partial x_{i1}} P - \sum_{\alpha=2}^N \frac{\partial V_2(x_1 - x_\alpha)}{\partial x_{i1}} P \right) \right]$$

integrate out 2...N:

$$- \frac{\partial}{\partial x_{i1}} \left( \frac{p_{i1}}{m} f_1 \right) + \frac{\partial}{\partial p_{i1}} \left( \frac{\partial U}{\partial x_{i1}} f_1 \right) + \frac{\partial V_2(x_1 - x_2)}{\partial x_{i1}} f_2(\zeta_1, \zeta_2)$$

⇒ Streaming terms

⇒ collision term/integral

$$\partial_t f_1 + \frac{p_{i1}}{m} \frac{\partial f_1}{\partial x_{i1}} - \frac{\partial U}{\partial x_{i1}} \frac{\partial f_1}{\partial p_{i1}} = \int d^{2d} \zeta_2 \frac{\partial V_2(\vec{x}_1 - \vec{x}_2)}{\partial x_{i1}} \frac{\partial f_2}{\partial p_{i1}}$$

Hence, exact LINEAR equation for  $f_1$

but it depends on  $f_2$ . ∴

Repeat the above argument... :

$$\partial_t f_n + \{ f_n, H_n \} = \sum_{\alpha=1}^n \int d^{2d} \zeta_{n+1} \frac{\partial V_2(x_\alpha - x_{n+1})}{\partial x_{i\alpha}} \frac{\partial f_{n+1}}{\partial p_{i\alpha}}$$

↙ Ham. of first n particles

These equations are called the BBGKY Hierarchy.

Goal: how to truncate equations into something manageable?

Focus on  $n=1, 2$ : (drop spatial indices ...  $d=1$ )

$$\partial_t f_1 + \frac{p_1}{m} \frac{\partial f_1}{\partial x_1} - \frac{\partial U}{\partial x_1} \frac{\partial f_1}{\partial p_1} = \int dS_2 \frac{\partial V_2(x_1 - x_2)}{\partial x_1} \frac{\partial f_1}{\partial p_1}$$

$$\partial_t f_2 + \frac{p_1}{m} \frac{\partial f_2}{\partial x_1} - \frac{\partial U}{\partial x_1} \frac{\partial f_2}{\partial p_1} + \frac{p_2}{m} \frac{\partial f_2}{\partial x_2} - \frac{\partial U}{\partial x_2} \frac{\partial f_2}{\partial p_2} - \frac{\partial V_2(x_1 - x_2)}{\partial x_1} \left( \frac{\partial f_1}{\partial p_1} - \frac{\partial f_2}{\partial p_2} \right)$$

$$= \int dS_3 \left[ \frac{\partial V_2(x_1 - x_3)}{\partial x_1} \frac{\partial f_3}{\partial p_1} + \frac{\partial V_2(x_2 - x_3)}{\partial x_2} \frac{\partial f_3}{\partial p_2} \right]$$

There's a number of time scales in this problem...

single particle:  $\sim \frac{f}{\tau_1}$  collision:  $\sim \frac{f}{\tau_c}$  two-particle  $\sim \frac{f_2}{\tau_2}$

For simplicity... take  $U=0$ .

$\bar{p} \sim$  typical momentum  
 $L^{-1} \sim \frac{\partial_x f_1}{f_1}$  (more later...)

$$\frac{1}{\tau_1} \sim \frac{\bar{p}}{m} \cdot \frac{1}{L}$$

interaction is short range on length scale  $a$

$$\frac{1}{\tau_2} \sim \frac{1}{\bar{p}} \frac{V_2}{a}$$

$$\frac{1}{\tau_c} \sim \frac{V_2}{a} \frac{1}{\bar{p}} \left[ \int_{|x_2 - x_1| \leq a} dS_2 f_2 \right] \sim \frac{1}{\tau_2} \cdot \rho a^d$$

density of particles

Now,  $1/\tau_1$  can be big ( $L \rightarrow \infty$ )

In a gas, we expect  $\rho a^d \ll 1$ :



So  $\frac{1}{\tau_c} \ll \frac{1}{\tau_2}$

In a reasonable "steady-state-like" approximation:

$$0 \approx - \frac{\partial V_2(x_1 - x_2)}{\partial x_1} \left( \frac{\partial f_2}{\partial p_1} - \frac{\partial f_2}{\partial p_2} \right) + \frac{p_1}{m} \frac{\partial f_2}{\partial x_1} + \frac{p_2}{m} \frac{\partial f_2}{\partial x_2}$$

Switch to coordinates:  $\tilde{x} = x_1 - x_2$   $\bar{x} = \frac{x_1 + x_2}{2}$   
 $\tilde{p} = p_1 - p_2$   $\bar{p} = \frac{p_1 + p_2}{2}$

$\frac{\partial}{\partial \bar{x}} \sim \frac{1}{L}$  but  $\frac{\partial}{\partial \tilde{x}} \sim \frac{1}{a}$ .

Hence:  $0 \approx -\frac{\partial V_2}{\partial \tilde{x}} \frac{\partial f_2}{\partial \tilde{p}} + \frac{\tilde{p}}{m} \frac{\partial f_2}{\partial \tilde{x}} + \frac{\bar{p}}{m} \frac{\partial f_2}{\partial \bar{x}}$

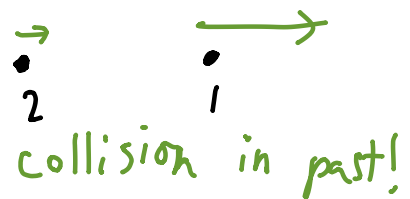
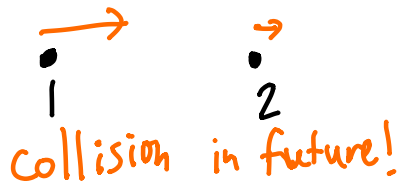
Now we have:

$\partial_t f_1 + \frac{p_1}{m} \frac{\partial f_1}{\partial x_1} \approx \int dp_2 dx_2 \left[ -\frac{\tilde{p}}{m} \frac{\partial f_2}{\partial \tilde{x}} \right]$

Naive integral over  $x_2$  gives 0??  $\left[ \approx \frac{\partial f_2}{\partial x_2} ? \right]$

$\int dp_2 dx_2 \frac{\tilde{p}}{m} \frac{\partial f_2}{\partial x_2} = \int dp_2 \frac{\tilde{p}}{m} \left[ f_2(2 \text{ right of } 1) - f_2(2 \text{ left of } 1) \right]$

On physical grounds... suppose  $\tilde{p} > 0$  ( $p_1 > p_2$ )



Let's focus on future collision terms...

Make assumption/ansatz/postulate of molecular chaos:

before collision:  $f_2^{\text{before}}(s_1, s_2) \approx f_1(s_1) f_1(s_2)$

i.e. particles uncorrelated before collision!  
 become correlated by collision.

$\int dp_2 \frac{\tilde{p}}{m} f_2[2 \text{ right of } 1] \rightarrow \int d\tilde{p} \frac{\tilde{p}}{m} f_1(s_1) f_1(s_2)$

but no integral over  $x_2$ ...

$x_1, p_1$   $x_1, p_2$   
 $\underline{\underline{?}}$

Idea:  $\xi_2 \approx (x_1, p_2)$  b/c interaction is short range.

Now:  $\partial_t f_1 + \frac{p_1}{m} \frac{\partial f_1}{\partial x_1} = \mathcal{C}[f_1]$   
 collision integral

$= - \int dp_2 \left[ \text{Rate}(p_1, p_2 \rightarrow \text{any?}) f_1(x_1, p_1) f_1(x_1, p_2) - \text{collisions in past} \right]$

$= - \int dp_2 dp'_1 dp'_2 \left[ \text{Rate}(p_1, p_2 \rightarrow p'_1, p'_2) f_1(p_1) f_1(p_2) - \text{Rate}(p'_1, p'_2 \rightarrow p_1, p_2) f_1(p'_1) f_1(p'_2) \right]$   
 loss of  $p_1$ 's  
 gain of  $p_1$ 's.

e.g. scattering matrix/rate from QFT...

This equation is called Boltzmann equation / kinetic equation.

Generalizing to  $d$  spatial dimensions easy...

In quantum systems; the collision integral:

$= - \int dp_2 dp'_1 dp'_2 \left[ \text{Rate}(p_1, p_2 \rightarrow p'_1, p'_2) f_1(p_1) f_1(p_2) \times \begin{cases} (1-f_1(p'_1))(1-f_1(p'_2)) & \text{Pauli blocking} \leftarrow \text{fermions} \\ (1+f_1(p'_1))(1+f_1(p'_2)) & \text{stimulated emission!} \leftarrow \text{bosons} \end{cases} \right]$

If add external potential:

$\partial_t f + \frac{p_i}{m} \frac{\partial f}{\partial x_i} - \frac{\partial U}{\partial x_i} \frac{\partial f}{\partial p_i} = \mathcal{C}[f]$  Same as before!  
 $f_1 \rightarrow f$