## **Transfer Matrices in Optics**

In a real world analysis of a complex optical system, one of the most useful tricks is the introduction of a transfer matrix. In this problem, we'll see how to construct and use transfer matrices for normally incident electromagnetic waves in a large optical system.

Suppose we have an optical system which is translationally invariant in the y and z directions. We can write the electric field of a normally incident plane wave therefore

$$E = E_{+} \mathrm{e}^{\mathrm{i}n\omega x/c} + E_{-} \mathrm{e}^{-\mathrm{i}n\omega x/c}.$$

The polarization of the wave does not concern us. Suppose that at some initial state, therefore, our electric field is described by the vector  $(E_{+1}, E_{-1})$ , and at some later time by the vector  $(E_{+2}, E_{-2})$ . (The vector refers to whether the wave is moving forwards/backwards, not the vector nature of **E**). If our system is linear, we then construct a  $2 \times 2$  matrix T such that

$$\left(\begin{array}{c}E_{+2}\\E_{-2}\end{array}\right) = T\left(\begin{array}{c}E_{+1}\\E_{-1}\end{array}\right)$$

T is called a **transfer matrix**. To start off, let's calculate some sample transfer matrices.

(a) Let P(n; x) be the matrix representing propagation through a medium of index of refraction n for a distance x. Show that<sup>1</sup>

$$P(n;x) = \cos \frac{n\omega x}{c} + i \sin \frac{n\omega x}{c} \sigma_3.$$

(b) Let  $B(n_1 \to n_2)$  be the matrix representing a wave propagating from a medium of index  $n_1$  ( $x < x_0$ ) to a medium with index  $n_2$  ( $x > x_0$ ). Show that

$$B(n_1 \to n_2) = \frac{1 + n_1/n_2}{2} + \frac{1 - n_1/n_2}{2}\sigma_1.$$

Now suppose we are considering a stack of N thin films of index of refraction n, each with thickness b. The films are separated by air (n = 1) of thickness a. The transfer matrix formalism is ideal to analyze this problem in a nearly-exact manner.



(c) Let  $\alpha = \omega a/c$  and  $\beta = n\omega b/c$ ; let U be the transfer matrix for one unit of the thin film stack (as shown in the figure). Show that

$$U = \frac{1}{4n} \left[ \left( (n+1)^2 \cos(\alpha + \beta) - (n-1)^2 \cos(\alpha - \beta) \right) + 2(n^2 - 1) \sin\beta \sin\alpha\sigma_1 - 2(n^2 - 1) \sin\beta \cos\alpha\sigma_2 + \left( (n+1)^2 \sin(\alpha + \beta) - (n-1)^2 \sin(\alpha - \beta) \right) i\sigma_3 \right]$$



 $<sup>\</sup>sigma_i$  represents a Pauli spin matrix in this problem (think back to quantum mechanics for their properties).

(d) If we build a stack such that  $\alpha = \beta = \pi/2$ , compute T and show that

$$\frac{I_{\rm trans}}{I_{\rm inc}} = \frac{4}{\left(1 + \frac{1}{n^2}\right)^N}.$$

Comment on this result, and on its possible usefulness in an application.