

## Orthogonality Catastrophe

Let us consider a non-interacting system of  $N$  fermions, each of which is under the effect of a nondegenerate Hamiltonian  $H_0$ , whose eigenvalues are written as  $E_1 < E_2 < \dots$ : i.e, the total Hamiltonian of the system is  $H = H_{0,1} + \dots + H_{0,N}$ . Denote with  $|0\rangle$  the properly normalized and antisymmetrized ground state of this system, and denote with  $|i\rangle$  the single fermion eigenvector of  $H_0$  corresponding to energy  $E_i$ .

Now, let us perturb the single fermion Hamiltonian to  $H = H_0 + V$ , for some small perturbation  $V$ , and denote  $|0'\rangle$  as the ground state of this new Hamiltonian, again properly normalized and antisymmetrized. Define  $|i'\rangle$  the single fermion eigenvector of  $H$  corresponding to energy  $E'_i$ .

The goal of this problem will be to compute

$$I \equiv |\langle 0|0'\rangle|,$$

i.e., the overlap between the two ground states. As we will see, for a typical system, when  $N$  is large,  $I$  is very small. This effect is called the **orthogonality catastrophe**.

- (a) Find an exact expression for  $I$ , by expressing your answer in terms of the matrix  $A_{ij} \equiv \langle i|j'\rangle$ . The remainder of this problem deals with how to heuristically understand the behavior of  $I$  at large  $N$ .
- (b) To proceed, it will help to prove the following mathematical theorem: let  $A$  be an  $n \times n$  matrix, with column vectors  $e_i$  ( $i = 1, \dots, n$ ) such that  $\bar{e}_i \cdot e_i = 1$ . Show that  $|\det(A)| \leq 1$ .
- (c) Combine the results of parts (a) and (b) to show that

$$I < e^{-J}$$

where

$$J \equiv \frac{1}{2} \sum_{i \leq N < j'} |\langle i|j'\rangle|^2.$$

Suppose that we find  $|i'\rangle$  by using first order perturbation theory. Suppose further that we have

$$\langle i|V|j\rangle \sim \gamma e^{-\alpha|E_j - E_i|}$$

for typical states  $|i\rangle$  and  $|j\rangle$ , and assume  $\alpha$  is not “too small”. This is a very crude approximation, but it will make the calculation simpler. Let  $\rho(E)$  be the density of states for  $H_0$  – assume that there are enough states, and  $N$  is large enough, that  $\rho(E)$  may be approximated by a smooth function. Denote by  $E_F$  the Fermi energy: i.e., the energy  $E_N$ . Work only to lowest order in perturbation theory.

- (d) Show that, so long as  $d\rho/dE > 0$  “reasonably” fast, we find  $J \rightarrow \infty$  as  $N \rightarrow \infty$ . This is the orthogonality catastrophe. Make any reasonable approximations.

This has important consequences in many condensed matter systems, particularly in experiments. We have shown that the ground state of a typical many-body condensed matter quantum system is incredibly sensitive to small perturbations. It turns out one of the practical consequences of this is that an experiment trying to measure a resistivity of a material may end up measuring the “contact resistance” at the leads where they are taking their measurement, due to the small perturbations of the leads!